

Ordinance on Minimum Standards and Procedures for Award of Ph.D. Degree, 2026

1. Short Title, Application, and Commencement

- 1.1 This Ordinance shall be called the **University of Patanjali (Minimum Standards and Procedure for Award of Ph.D. Degree) Ordinance, 2025**.
- 1.2 It shall apply to all departments, centres, and affiliated institutions of the University authorized to conduct Ph.D. programmes.
- 1.3 It shall come into force from the date of its notification in the Gazette of India.

2. Eligibility Criteria for Admission to the Ph.D. Programme

The following candidates shall be eligible to seek admission to the Ph.D. programme of the University of Patanjali:

2.1 Candidates who have completed:

- i. A **1-year/2-semester Master's degree programme** after a **4-year/8-semester Bachelor's degree programme as per NEP**, or a **2-year/4-semester Master's degree programme** after a **3-year Bachelor's degree programme**, or qualifications declared equivalent to the Master's degree by the corresponding statutory regulatory body,

with at least **55% marks in aggregate** or its equivalent grade in a point scale wherever grading system is followed; or an equivalent qualification from a **foreign educational institution** accredited by an Assessment and Accreditation Agency which is approved, recognized, or authorized by an authority established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit, or assure quality and standards of educational institutions.
- ii. A relaxation of **5% marks or its equivalent grade** shall be allowed for candidates belonging to **SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS)** and other categories of candidates as per the decision of the Commission from time to time.
- iii. Provided that a candidate seeking admission after a **4-year/8-semester Bachelor's degree programme as per NEP** should have a minimum of **75% marks in aggregate** or its equivalent grade in a point scale wherever the grading system is followed. A relaxation of **5% marks or its equivalent grade** may be allowed for candidates

belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.

2.2 Candidates who have completed:

The **M.Phil. programme** with at least **55% marks in aggregate** or its equivalent grade in a point scale wherever grading system is followed, or equivalent qualification from a **foreign educational institution** accredited by an Assessment and Accreditation Agency which is approved, recognized, or authorized by an authority established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit, or assure quality and standards of educational institutions,

shall be eligible for admission to the Ph.D. programme and be granted relaxation from the course work.

A relaxation of **5% marks or its equivalent grade** may be allowed for candidates belonging to **SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS)** and other categories of candidates as per the decision of the Commission from time to time.

3. Duration of Programme

3.1 The Ph.D. Programme shall be for a **minimum duration of three (3) years**, including course work, and a **maximum duration of six (5) years** from the date of admission to the Ph.D. programme.

3.2 A maximum of an **additional two (2) years** may be granted through a process of annual **re-registration** as per the Statute/Ordinance of the University of Patanjali; provided, however, that the total period for completion of the Ph.D. programme shall not exceed **seven (7) years** from the date of admission.

Provided further that female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an **additional relaxation of two (2) years**; however, the total period for completion of the Ph.D. programme in such cases shall not exceed **nine (9) years** from the date of admission.

3.3 Female Ph.D. scholars may be provided **Maternity Leave/Child Care Leave for up to 240 days** during the entire duration of the Ph.D. programme.

3.4 For student exchanges contributing significantly to the completion of the thesis, leave of absence may be granted from the second year onwards, subject to approval of the Research Advisory Committee. This may include:

- (i) Research expeditions (less than one month) authorized by the Supervisor and Head of Department;

- (ii) Research missions (more than one month) approved by the Research Advisory Committee for work in national/international laboratories or Centres of Excellence;
- (iii) Exchange programmes approved by the Research Advisory Committee for work in institutions in India or abroad.

4. Procedure for Admission

- 4.1 The admission shall be based on the criteria notified by the University of Patanjali, keeping in view the guidelines/norms issued by the University Grants Commission (UGC) and other statutory/regulatory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.
- 4.2 Admission to the Ph.D. programme shall be made using the following methods:
 - i. The University may admit students who qualify for fellowship/scholarship in **UGC-NET/UGC-CSIR NET/GATE/CEED** and similar National level tests, based on an interview. **And/or**
 - ii. The University may admit students through an **Entrance Test** conducted at the institutional level. The Entrance Test syllabus shall consist of **50% Research Methodology** and **50% subject-specific questions**.
 - iii. Students who secure **50% marks in the entrance test** shall be eligible to be called for the interview.
 - iv. A relaxation of **5% marks** shall be allowed in the entrance examination for candidates belonging to **SC/ST/OBC (non-creamy layer)/Differently-Abled/Economically Weaker Section (EWS)** and other categories of candidates as per the decision of the Commission from time to time.
 - v. The University may determine the number of eligible students to be called for interview based on the number of Ph.D. seats available.
 - vi. Provided that, for the selection of candidates based on the entrance test conducted by the University, a **weightage of 70% for the entrance test and 30% for the performance in the interview/viva voce** shall be given.
- 4.3 Universities and Colleges authorized to conduct Ph.D. programmes shall:
 - i. Notify a **prospectus well in advance** on the institution's website specifying the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, and all other relevant information for candidates.
 - ii. Adhere to the **National/State-level reservation policy**, as applicable.
- 4.4 The University shall maintain a **list of Ph.D. supervisors** (specifying the name of the supervisor, designation, and department/school/centre), along with the details of Ph.D. scholars (specifying the name of the registered scholar, topic of research, and date of

admission) admitted under them, on the University website, and update this list every academic year.

5. Allocation of Research Supervisor

5.1 Eligibility Criteria

- i. Permanent faculty members working as **Professor/Associate Professor** of the University of Patanjali with a **Ph.D. degree** and at least **five research publications** in peer-reviewed or refereed journals, may be recognized as Research Supervisors.
- ii. Permanent faculty members working as **Assistant Professors** with a Ph.D. degree and at least **three research publications** in peer-reviewed or refereed journals may also be recognized as Research Supervisors.
- iii. Such recognized Research Supervisors shall supervise scholars only within the University. They may act as **Co-Supervisors** in other institutions, but not as primary supervisors.
- iv. For Ph.D. scholars working in Central/State Government research institutions whose degrees are awarded by Higher Educational Institutions, scientists equivalent to Professor/Associate Professor/Assistant Professor may be recognized as Supervisors if they fulfill the above requirements.
- v. In areas/disciplines where peer-reviewed or refereed journals are limited, the University may relax the publication requirement, with reasons recorded in writing.
- vi. **Adjunct Faculty members** shall not act as Research Supervisors and may only serve as Co-Supervisors.

5.2 Co-Supervision

- i. In cases of **interdisciplinary or multidisciplinary research**, a Co-Supervisor from outside the Department/School/Centre/College may be appointed, subject to approval of the competent authority.
- ii. Co-Supervisors may be permitted from within the same department, other departments of the University, or other institutions, with due approval after superannuation, but not beyond the age of **70 years**.

5.3 Number of Scholars per Supervisor

- i. A Professor may guide up to **eight (8)** Ph.D. scholars at any given time.

- ii. An Associate Professor may guide up to **six (6)** Ph.D. scholars.
- iii. An Assistant Professor may guide up to **four (4)** Ph.D. scholars.

5.4 Relocation of Female Scholars

In case of relocation of a female Ph.D. scholar due to marriage or other reasons, the research data shall be allowed to be transferred to the institution to which the scholar intends to relocate, provided all other conditions of these Regulations are followed and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. The scholar shall give due credit to the parent institution and supervisor for the part of research already undertaken.

5.5 Superannuation

- i. Faculty members with less than **three years of service before superannuation** shall not be allowed to take new research scholars under their supervision.
- ii. Such faculty members may continue to supervise Ph.D. scholars already registered until superannuation, and may act as Co-Supervisors after superannuation, but not beyond the age of **70 years**.

5.6 Supervisor's Obligations:

The Supervisor shall ensure compliance with all formalities described in the Ph.D. Regulations and Ordinances of the University. Responsibilities include:

- Regular academic meetings with the scholar;
- Guidance on relevant courses, conferences, and collaborations;
- Advice on national/international organizations relevant to research;
- Ensuring feasibility and quality of the Ph.D. project within the given timeframe;
- Incorporation of knowledge dissemination elements;
- Regular review and feedback on progress;
- Preparation of a final statement summarizing the Ph.D. programme at the time of thesis submission;
- Active participation in assessment and viva voce.

6. Admission of International Students in Ph.D. Programme

- 6.1 Each Research Supervisor may guide up to **two (2) international research scholars** on a **supernumerary basis in addition to clause 5.3**, (over and above the permitted number of Ph.D. scholars as specified in Clause 6.3 of this Ordinance).

- 6.2 The University of Patanjali may determine its own **selection procedure** for admission of international students to the Ph.D. programme, keeping in view the guidelines/norms issued by statutory/regulatory bodies concerned from time to time.

7. Course Work – Credit Requirements, Number, Duration, Syllabus, Minimum Standards for Completion

- 9.1 The credit requirement for the Ph.D. coursework shall be a **minimum of twelve (12) credits**, including:
- A mandatory course on **Research and Publication Ethics** as notified by UGC vide D.O. No. F.1-1/2018 (Journal/CARE) in 2019; and
 - A **Research Methodology course** covering quantitative methods, qualitative methods, computer applications, research ethics, and review of published research in the relevant field.

The **Research Advisory Committee (RAC)** may also recommend UGC-recognized online courses as part of the credit requirements for the Ph.D. programme.

- (2) All Ph.D. scholars, irrespective of discipline, shall be required to undergo training in **teaching, education, pedagogy, and academic writing** related to their chosen Ph.D. subject during the doctoral period.

Ph.D. scholars may also be assigned **4–6 hours per week** of teaching/research assistantship duties, including conducting tutorials, laboratory work, and evaluation activities, as part of their academic training.

- (3) A Ph.D. scholar must obtain a **minimum of 55% marks or its equivalent grade in the UGC 10-point scale** in the coursework to be eligible to continue in the programme and submit his/her thesis.

7. Research Advisory Committee (RAC) and its Functions

- 7.1 There shall be a **Research Advisory Committee (RAC)** or an equivalent body, as defined in the Statutes/Ordinances of the University of Patanjali, for each Ph.D. scholar. The **Research Supervisor** of the concerned Ph.D. scholar shall act as the **Convener** of this Committee. The Committee shall have the following responsibilities: i. To review the research proposal and finalize the topic of research. ii. To guide the Ph.D. scholar in developing the study design and methodology of research, and to identify the course(s) that he/she may be required to undertake. iii. To periodically review and assist in the progress of the research work of the Ph.D. scholar.

- 7.2 Each semester, a Ph.D. scholar shall appear before the Research Advisory Committee to make a **presentation** and submit a **brief progress report** on his/her work for evaluation and further guidance.

The Research Advisory Committee shall submit its **recommendations**, along with a copy of the Ph.D. scholar's progress report, to the University of Patanjali. A copy of such recommendations shall also be provided to the Ph.D. scholar.

- 7.3 In case the progress of the Ph.D. scholar is found to be **unsatisfactory**, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the **cancellation of the registration** of the Ph.D. scholar from the Ph.D. programme.

8. Evaluation and Assessment Methods, Minimum Standards/Credits for Award of the Degree

- 8.1 Upon satisfactory completion of the prescribed coursework and obtaining the marks/grade as required under Clause 9(3) of this Ordinance, the Ph.D. scholar shall undertake research work and produce a draft dissertation/thesis.

- 1A **Before submitting the dissertation/thesis, the Ph.D. scholar shall make a presentation before a Two-Tier Research Committee, consisting of the Departmental Research Advisory Committee and the Institutional Research Committee. This presentation shall serve as a formal pre-submission review to ensure the quality, originality, and feasibility of the research work.**

- 8.2 In addition, the Ph.D. scholar shall make a presentation before the **Departmental Research Committee (DRC)**, which shall also be open to all faculty members and other research scholars/students.

- 8.3 The University shall maintain a mechanism using **well-developed software applications to detect plagiarism** in research work. Research integrity shall be an integral part of all activities leading to the award of a Ph.D. degree.

- 8.4 A Ph.D. scholar shall submit the thesis for evaluation along with:

- i. An **undertaking from the scholar** that the thesis contains no plagiarism and has not been submitted for the award of any other degree/diploma; and
- ii. A **certificate from the Research Supervisor** attesting to the originality of the thesis and confirming that it has not been submitted elsewhere.

- 8.5 The Ph.D. thesis shall be evaluated by:

- i. The **Research Supervisor**, and
- ii. At least **two external examiners** who are experts in the field and not in employment of the University of Patanjali. Such examiners shall be academics with a good record of scholarly publications. Wherever possible, one of the external examiners shall be chosen from **outside India**.

The **viva voce board** shall consist of the Research Supervisor and at least one of the external examiners. The viva voce may be conducted online and shall be open to members of the RAC, faculty members, research scholars, and students.

- 8.6 The viva voce examination shall be conducted only if both external examiners recommend acceptance of the thesis, subject to incorporation of corrections suggested by them.
- If one examiner recommends rejection, the thesis shall be referred to an **alternate external examiner** from the approved panel.
 - The viva voce shall be held only if the alternate examiner recommends acceptance.
 - If the alternate examiner also rejects the thesis, the thesis shall be deemed rejected and the scholar declared ineligible for the award of the Ph.D. degree.
- 8.7 The University of Patanjali shall complete the entire process of **evaluation of the Ph.D. thesis**, including the declaration of the viva voce result, within a period of **six (6) months** from the date of submission of the thesis.
- 8.8 Ph.D. scholars may be encouraged to participate in visits or stays at other relevant research institutions/industry, including foreign institutions, for a duration of 1–6 months, subject to approval by the Research Advisory Committee.
- 8.9 Infrastructure Requirements for Colleges Offering Ph.D. Programmes 9.1 Colleges must have adequate facilities including:
- Exclusive research laboratories with sophisticated equipment and uninterrupted utilities;
 - Earmarked library resources with latest books, journals, e-journals, extended working hours, and adequate space for scholars;
 - Access to facilities of neighboring institutions or R&D laboratories where required.

9. Ph.D. through Part-time Mode-

- 9.1 Ph.D. programmes through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.
- 9.2 The Higher Educational Institution concerned shall obtain a “No Objection Certificate” through the candidate for a part-time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:
- i. The candidate is permitted to pursue studies on a part-time basis.
 - ii. His/her official duties permit him/her to devote sufficient time for research.
 - iii. If required, he/she will be relieved from the duty to complete the course work.
- 9.3 Notwithstanding anything contained in these Regulations or any other law, for the time being in force, no Higher Educational Institution or research institution of the Central

government or a State Government shall conduct Ph.D. programmes through distance and/or online mode.

9.4 Duration

- Minimum duration: 3 years; maximum: 5 years.
- Women candidates and PwD (>40% disability) may be allowed relaxation of up to 2 years.
- Re-registration beyond maximum period shall not be permitted, except as per UGC guidelines.

9.5 Course Work

- Mandatory Physical coursework in campus within the first year.
- Minimum 55% marks required to proceed.
- M.Phil. holders may be exempted.

9.5 Residential Requirements

- Minimum of 30 days physical presence per year at the University campus, for coursework, progress presentations, and consultations.
- Attendance at annual research workshops and interim reviews is mandatory.
- Time spent with approved external co-supervisors may count toward residency.

10. Issuing a Provisional Certificate

Prior to the actual award of the Ph.D. degree, the University of Patanjali shall issue a **Provisional Certificate** to the effect that the Ph.D. degree is being awarded in accordance with the provisions of these Regulations.

11. Ethics and Misconduct

- 11.1 Plagiarism and misconduct are strictly prohibited.
- 11.2 The University shall establish a **Research Ethics Committee** and Ombudsperson mechanism to handle allegations of misconduct.
- 11.3 Confidentiality shall be maintained during investigations, and disciplinary action taken if misconduct is proven.
- 11.4 The University shall establish a well-defined policy and procedure for handling allegations of misconduct in research. This shall include:
 - Clear definition of misconduct with legal foundation;
 - Constitution of investigation committees with conflict-of-interest safeguards;
 - Procedural principles of natural justice and Ombudsperson mechanism;
 - Confidentiality of persons involved until misconduct is proven;

- Timely completion of investigation and disciplinary proceedings. Explanation: Every breach of good research practice does not constitute misconduct; only deliberate violations shall be treated as misconduct.

12. Award of Ph.D. Degrees Prior to Notification of these Regulations

- (1) The award of Ph.D. degrees to candidates registered for the Ph.D. programme on or after **July 11, 2009**, till the date of notification of these Regulations, shall be governed by the provisions of the **UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009** or the **UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016**, as the case may be.
- (2) The award of degrees to candidates already registered and pursuing Ph.D. at the time of notification shall be governed either by these Regulations or by the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016.
- (3) Nothing in these Regulations shall affect the validity of **M.Phil. degree programmes commenced prior to the enactment of these Regulations**.

12. Depository with INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the University of Patanjali shall submit an **electronic copy of the Ph.D. thesis to INFLIBNET** (Information and Library Network Centre), for hosting the same so as to make it accessible to all Higher Educational Institutions and research institutions.

13: Supplementary Provisions

- 13.1 **Mandatory Publication Requirement** Before submission of the thesis, every Ph.D. scholar shall publish at least one research paper in a refereed journal and present at least two papers in conferences/seminars, with due acknowledgment of the University of Patanjali.
- 13.2 **Grievance Redressal Mechanism** The University shall establish a Ph.D. Grievance Redressal Cell under the Ombudsperson framework to address disputes related to supervision, RAC decisions, evaluation, and other academic matters.
- 13.3 **Departmental Intake Capacity** Each Department/School/Centre shall specify its maximum intake capacity for Ph.D. scholars annually, based on infrastructure, faculty strength, and research facilities, in addition to supervisor-wise limits.

- 13.4 **Intellectual Property Rights (IPR)** Ownership of patents, copyrights, and other intellectual property generated during doctoral research shall be governed by the University's IPR policy. Scholars and supervisors shall adhere to this policy.
- 13.5 **Fee Transparency** The University shall publish the complete fee structure for Ph.D. programmes in the prospectus and on its website, ensuring transparency and compliance with UGC norms.
- 13.6 **Annual Institutional Review** In addition to RAC reviews, each scholar's progress shall be consolidated bi-annually and reviewed by the Dean Research/Doctoral Committee to ensure timely completion.
- 13.7 **International Collaboration** The University may enter into cotutelle/joint Ph.D. agreements with foreign universities, subject to UGC and statutory approvals, to promote international research collaboration.
- 13.8 **Flexible Pathways (NEP 2020)** The University shall explore integrated Master's–Ph.D. pathways and flexible exit options in line with NEP 2020, subject to UGC guidelines.
- 13.9 **Research Data Management** Ph.D. scholars shall adhere to FAIR principles (Findable, Accessible, Interoperable, Reusable) for research data. The University shall establish policies for data archiving and open access, complementing INFLIBNET submission.

Annexure I University/Institute Name

(Logo/Seal of the Institution) Address | Contact | Website

SYNOPSIS SUBMISSION FORM

Programme: Ph.D. / M.Phil. / Postgraduate **Department:** _____

Faculty: _____

Candidate Details

- Name of Candidate: _____
- Father's/Mother's Name: _____
- Enrollment/Registration No.: _____
- Contact No.: _____
- Email ID: _____

Synopsis Details

- Title of Synopsis: _____
- Area of Research: _____
- Supervisor/Guide: _____
- Co-Supervisor (if any): _____
- Date of Submission: _____

Declaration by Candidate

I,[Name of Candidate], hereby declare that the synopsis titled "[Title]....." submitted to[University/Institute Name] is an original work prepared by me under the guidance of my supervisor(s). It has not been submitted to any other institution for any degree/diploma.

Signature of Candidate: _____ Date: _____

Endorsement by Supervisor(s)

This is to certify that the synopsis titled "[Title]" submitted by [Candidate's Name] has been prepared under my supervision and is recommended for submission to the University.

- **Supervisor/Guide**

Signature: _____ Name: _____

Designation: _____

Co-Supervisor (if any)

Signature: _____ Name: _____

Designation: _____

Head of Department

Forwarded to the Dean.

Signature: _____ Name: _____

Designation: _____ Seal of Department/Faculty

Dean's Approval

Recommended for consideration

Signature: _____ Name: _____

Designation: _____ Seal of Department/Faculty

Dean Research Office

Received on: _____ Signature: _____

Annexure I A

Synopsis/ Proposal Format

1. Title of the Research

- Provide a clear, concise, and informative title.
- The title should reflect the central theme and scope of the research.

2. Objectives of the Study

- State the **primary objectives** (main goals of the research).
- Mention **secondary objectives** (specific aims that support the main goal).
- Ensure objectives are measurable, achievable, and aligned with the research problem.

3. Research Questions / Hypotheses

- List the key research questions the study seeks to answer.
- If applicable, state hypotheses to be tested.
- Questions should be specific, researchable, and logically connected to objectives.

4. Review of Literature (Brief Summary)

- Provide a short overview of existing research in the chosen area.
- Identify gaps in knowledge that your study intends to address.
- Highlight relevance to national, international, or local context.

5. Methodology

- **Research Design:** Qualitative, quantitative, or mixed methods.
- **Data Collection:** Sources (primary/secondary), tools (surveys, interviews, experiments, archival data).
- **Sampling:** Target population, sample size, sampling technique.
- **Data Analysis:** Statistical methods, qualitative coding, software/tools to be used.
- **Ethical Considerations:** Consent, confidentiality, plagiarism check, adherence to research ethics.

6. Expected Contribution / Significance of the Study

- Academic contribution (new knowledge, theory development, methodological innovation).
- Practical contribution (policy implications, industry applications, social relevance).
- Contribution to the University's thrust areas (e.g., Yoga, social relevance, national/global significance).

7. Proposed Timeline

- Year-wise or semester-wise plan of work (coursework, data collection, analysis, writing, submission).

8. References (Preliminary List)

- Provide a list of key references cited in the proposal, formatted as per APA/MLA/Chicago/ILI style (as prescribed by the University).

9. Declaration by Scholar

- Statement confirming originality of the proposal and adherence to ethical standards.

Annexure IB

Title of Research Proposal: _____

Name of Scholar: _____ **Enrollment**

Number: _____

Department/School/Centre: _____

Declaration by Scholar

I, _____ (name of scholar), hereby declare that:

1. The research proposal titled _____ is my original work and has not been submitted, wholly or in part, for the award of any degree/diploma in any other University/Institution.
2. The proposal has been prepared in accordance with the academic and ethical standards prescribed by the University of Patanjali and the University Grants Commission (UGC).
3. I affirm that the proposal is free from plagiarism and academic misconduct. Any sources used have been duly acknowledged and referenced.
4. I undertake to adhere to the highest standards of research integrity, including honesty, transparency, and accountability, throughout the course of my doctoral research.

Signature of Scholar: (Sign)

Endorsement by Supervisor

I, _____ (name of supervisor), hereby certify that:

1. I have reviewed the above-mentioned research proposal and confirm its originality.
2. To the best of my knowledge, the proposal complies with the ethical standards and guidelines of the University of Patanjali and UGC.
3. I endorse the submission of this proposal for consideration by the Research Advisory Committee.

Signature of Supervisor: (Sign)

Name

Date: _____

Signature of Co- Supervisor Name

Date: _____

Signature of Co- Supervisor Name

Date: _____

(Forwarded to Dean Faculty)

(Forwarded to Dean Research)

Head of the Department with Stamp

Dean of the Faculty with Stamp

Name

Date: _____

Name

Date: _____

Annexure II



UNIVERSITY OF PATANJALI HARIDWAR

PhD/M Phil-D

Department of: _____

APPLICATION FOR FINALIZATION OF SUPERVISOR(s)

1. Name of the Research Scholar : _____
2. Date of Admission: _____ 3. Status: Full-Time ☐ Part-Time ☐
4. Sponsored/Self Finance/UOP Assistantship /Assistantship from other sources _____
5. Proposed area of research : _____
6. Supervisor Name: _____
Designation: _____
Department: _____
Co-Supervisor Name: _____
Designation: _____
Department: _____
Co-Supervisor Name: _____
Designation: _____
Department: _____

Note: 1. Please enclose the justification in case of joint supervision.

DATED:

Signature of Research Scholar

Quantum of supervision in case of Joint Supervision

Supervisor Co-Supervisor Co-Supervisor

Signature of the Supervisor (s)

Approved/Recommended

Chairman, RC

Head of The Department/Course Coordinator

DATED:

Note 1: The Proforma-0 is to be sent to Academic Section for record.

2: Approval is required, by Vice Chancellor in case of Joint Supervision only.

ORDERS OF VICE CHANCELLOR

Approved/Not Approved

Vice Chancellor

Annexure III

Research Advisory Committee (RAC) Constitution Form

University of Patanjali Ph.D. Programme – RAC Constitution Form

Name of Scholar: _____

Enrollment Number: _____

Department/School/Centre: _____

Research Topic/Title:

Supervisor Details

Name of Supervisor (Convener): _____

Designation: _____

Department/School/Centre: _____

Co-Supervisor (if applicable)

Name of Co-Supervisor: _____

Designation: _____

Department/Institution: _____

Committee Members

Departmental Representative: _____

Faculty Member 1: _____

Faculty Member 2: _____

External Expert (if applicable): _____

Certification

This is to certify that the above-mentioned Research Advisory Committee has been duly constituted for the scholar named above, in accordance with the Ordinance and UGC Regulations.

Signature of Scholar: (Sign) _____ Name Date: _____	Signature of Supervisor: (Sign) _____ Name Date: _____
Member Name Date: _____	Member Name Date: _____
Member Name Date: _____	Member Name Date: _____
(Forwarded to Dean Faculty) Head of the Department with Stamp _____ Name Date: _____	(Forwarded to Dean Research) Dean of the Faculty with Stamp _____ Name Date: _____

Dean Research with Stamp

Annexure IV

Detailed Monthly Progress Report Format

University of Patanjali Ph.D. Programme – Monthly Progress Report

Scholar Details

- 1 **Name of Scholar:** _____
- 2 **Enrollment Number:** _____
- 3 **Department/School/Centre:** _____
- 4 **Research Topic/Title:** _____
- 5 **Supervisor's Name:** _____
- 6 **Co-Supervisor (if any):** _____

Reporting Period

Month/Year: (To- From) _____

Section A – Academic Progress

1. **Coursework Status (if applicable):**
 - Courses completed this month: _____
 - Grades/marks obtained: _____
 - Pending courses: _____
2. **Literature Review:**
 - Number of articles/books reviewed: _____
 - Key insights gained: _____
 - Identified research gaps: _____
3. **Research Design/Methodology Development:**
 - Progress in refining methodology: _____
 - Tools/software adopted: _____
 - Ethical approvals (if any): _____

Section B – Research Work Progress

1. Data Collection/Experimentation:

- Activities undertaken: _____
- Sample size/data points collected: _____
- Challenges faced: _____

2. Data Analysis:

- Techniques applied: _____
- Preliminary findings: _____
- Issues encountered: _____

3. Writing Progress:

- Chapters/sections drafted: _____
- Revisions made: _____
- Pending writing tasks: _____

Section C – Academic Engagement

- **Any Academic responsibility allotted by Head/Dean/Dean Research/Pro Vice-Chancellor/Vice chancellor** _____
- **Seminars/Workshops/Conferences Attended:** _____
- **Papers Presented/Published:** _____
- **Collaborations/Networking Activities:** _____

Section D – Challenges and Support Required

- Academic/technical difficulties: _____
- Infrastructure/resource needs: _____
- Guidance required from Supervisor/RAC: _____

Section E – Plan for Next Month

- Tasks to be undertaken: _____
- Milestones to be achieved: _____

Certification

I hereby declare that the information provided above is true to the best of my knowledge.

Signature of Scholar: (Sign)

Signature of Supervisor: (Sign)

Name

Date: _____

Name

Date: _____

(Forwarded to Dean Faculty)

(Forwarded to Dean Research)

Head of the Department with Stamp

Dean of the Faculty with Stamp

Name

Date: _____

Name

Date: _____

Annexure V

Leave/Exchange Programme Approval Form

University of Patanjali Ph.D. Programme

Scholar Details

- 1 **Name of Scholar:** _____
- 2 **Enrollment Number:** _____
- 3 **Department/School/Centre:** _____
- 4 **Research Topic/Title:** _____
- 5 **Supervisor's Name:** _____
- 6 **Co-Supervisor (if any):** _____

Type of Leave/Programme Requested

(Please tick/mark the appropriate category)

- ☐ Research Expedition (less than one month)
- ☐ Research Mission (more than one month)
- ☐ Exchange Programme (India/Abroad)
- ☐ Leave for Personal Issues (medical, family, or other personal circumstances)

Details of Proposed Leave/Programme

Institution/Organization to be visited (if applicable): _____

Location (City/Country): _____

Duration of Leave/Stay: From _____ To _____

Purpose/Reason: _____

Expected Contribution/Impact on Thesis (if applicable): _____

Date: _____

Signature of Scholar: _____

(University of Patanjali Ordinance framed under UGC Regulations, 2022)

Recommendations

Supervisor's Remarks: _____

Signature of Supervisor: _____ **Date:** _____

Signature of Co- Supervisor

Name

Date: _____

Signature of Co- Supervisor

Name

Date: _____

Certification

This is to certify that the above-mentioned scholar has been granted permission to undertake the specified leave/research expedition/mission/exchange programme/personal leave, subject to compliance with University and UGC regulations.

(Forwarded to Dean Faculty)

(Forwarded to Dean Research)

Head of the Department with Stamp

Dean of the Faculty with Stamp

Name

Date: _____

Name

Date: _____

Annexure VI

Thesis Originality Certificate

University of Patanjali Ph.D. Programme

Scholar's Declaration

I, _____ (name of scholar), enrolled under
Enrollment No. _____, hereby declare that:

1. The thesis entitled _____
_____ submitted to the University of Patanjali is my original work.
2. The thesis has not been submitted, wholly or in part, for the award of any degree/diploma in any other University/Institution.
3. The thesis is free from plagiarism and academic misconduct. All sources used have been duly acknowledged and referenced.
4. I undertake full responsibility for the authenticity and originality of the work.

Signature of Scholar: _____ **Date:** _____

Supervisor's Certification

I, _____ (name of supervisor), hereby certify that:

1. I have reviewed the thesis entitled _____ submitted by _____ (name of scholar).
2. To the best of my knowledge, the thesis is original, free from plagiarism, and has not been submitted elsewhere for any degree/diploma.
3. I endorse the submission of this thesis for evaluation and award of the Ph.D. degree in accordance with the Ordinance and UGC Regulations.

Signature of Supervisor: (Sign)

Name

Date: _____

Signature of Co- Supervisor Name

Date: _____

Signature of Co- Supervisor Name

Date: _____

(Forwarded to Dean Faculty)

(Forwarded to Dean Research)

Head of the Department with Stamp

Dean of the Faculty with Stamp

Name

Name

Date: _____

Date: _____

Annexure VII

Pre-Submission Request Form

University of Patanjali Ph.D. Programme – Pre-Submission Request Form

Scholar Details

- **Name of Scholar:** _____
- **Enrollment Number:** _____
- **Department/School/Centre:** _____
- **Research Topic/Title:** _____
- **Supervisor's Name:** _____
- **Co-Supervisor (if any):** _____

Thesis Status

- **Coursework Completion:** ✓ Yes / ✗ No
- **RAC Approval of Synopsis:** ✓ Yes / ✗ No
- **Progress Reports Submitted:** ✓ Yes / ✗ No
- **Plagiarism Check Report Attached:** ✓ Yes / ✗ No
- **Draft Thesis Prepared:** ✓ Yes / ✗ No

Scholar's Declaration

I, _____ (name of scholar), hereby request permission to submit my Ph.D. thesis entitled _____

I confirm that:

1. All coursework requirements have been completed.
2. The Research Advisory Committee has approved the synopsis.
3. Regular progress reports have been submitted.
4. The thesis draft has been checked for plagiarism and complies with University/UGC norms.
5. The thesis is ready for submission subject to final approval.

Signature of Scholar: _____ **Date:** _____

Supervisor's Recommendation

I, _____ (name of supervisor), hereby certify that:

1. The scholar has completed all prescribed requirements.
2. The thesis draft has been reviewed and found suitable for submission.
3. I recommend granting permission for pre-submission seminar and subsequent submission.

Signature of Supervisor: _____ **Date:** _____

Departmental Endorsement

- **Head of Department's Remarks:** _____
- **Signature of Head of Department:** _____
- **Seal/Stamp of Department:** _____
- **Date:** _____

Dean of Faculty Endorsement

- **Dean of Faculty's Remarks:** _____
- **Signature of Dean of Faculty:** _____
- **Seal/Stamp of Faculty:** _____
- **Date:** _____

Approval by Research Committee (RC)

- **Decision:** ☐ Approved ☐ Not Approved
- **Remarks:** _____
- **Signature of RAC Convener:** _____
- **Date:** _____

(University of Patanjali Ordinance framed under UGC Regulations, 2022)

Final Approval

Permission is hereby granted/not granted for the scholar to proceed with the pre-submission seminar and subsequent submission of the thesis.

Dean/Director (Research): _____ **Date:** _____

Annexure VII A

University/Institute Name

(Logo/Seal of the Institution) Address | Contact | Website

PRE-SUBMISSION MINUTES

Programme: Ph.D. / M.Phil. **Department:** _____ **Faculty:** _____

Candidate Details

- Name of Candidate: _____
- Enrollment/Registration No.: _____
- Title of Thesis/Synopsis: _____
- Supervisor: _____
- Co-Supervisor (if any): _____

Proceedings

A meeting was convened on [.....Date] in the Department of [.....
.....Name] to consider the pre-submission
presentation of [.....
.....Candidate's
Name].

The candidate presented the synopsis/thesis work before the committee. After due deliberation, the following observations were recorded:

- 1.
- 2.
- 3.

The committee found the work satisfactory and recommended submission of the synopsis/thesis to the University as per regulations.

Signatures

Prepared by: Supervisor/Guide

Forwarded by: Head of Department

Recommended by: Dean/Director

Annexure VIII

Thesis Submission Checklist

University of Patanjali Ph.D. Programme – Thesis Submission Checklist

Scholar Details

- **Name of Scholar:** _____
- **Enrollment Number:** _____
- **Department/School/Centre:** _____
- **Research Topic/Title:** _____
- **Supervisor's Name:** _____
- **Co-Supervisor (if any):** _____

Checklist Items

Sl. No.	Requirement	Status (✓/✗)	Remarks
1	Coursework Completion Certificate		
2	Research Advisory Committee (RAC) Approval of Synopsis		
3	Progress Reports (Semester/Monthly) Submitted		
4	Pre-Submission Request Form Approved		
5	Pre-Submission Seminar Conducted & Evaluated		
6	Thesis Originality Certificate (Scholar & Supervisor)		
7	Plagiarism Check Report Attached		
8	Research Paper(s)		
9	Seminar Conference certificate		
10	Final Thesis (Hard Copy – as per format) (5 Copy)		
11	Final Thesis (Soft Copy – PDF)		
12	Abstract of thesis (5 Copy)		
13	Supervisor's Final Approval		
14	Head of Department's Endorsement		
15	Dean of Faculty's Endorsement		
16	Dean/Director (Research) Approval		
17	INFLIBNET/Institutional Repository Submission Certificate		

Certification

This is to certify that all requirements listed above have been duly completed and verified for the submission of the Ph.D. thesis entitled _____

by _____ (name of scholar).

Signature of Scholar: (Sign)

Name

Date: _____

Signature of Supervisor: (Sign)

Name

Date: _____

Signature of Co- Supervisor Name

Date: _____

Signature of Co- Supervisor Name

Date: _____

(Forwarded to Dean Faculty)

Head of the Department with Stamp

Name

Date: _____

(Forwarded to Dean Research)

Dean of the Faculty with Stamp

Name

Date: _____

Annexure IX

Thesis Format Guidelines

1. Preliminary Pages

- **Title Page**
- **Certificate(s)**
 - Supervisor's Certificate
 - Co-Supervisor's Certificate (if applicable)
 - **Head of Department Certificate (Forwarded by Dean of Faculty)**
- **Declaration by Scholar**
- **Acknowledgements**
- **Abstract**
- **Table of Contents**

2. Main Body of Thesis

- Chapter 1: Introduction
- Chapter 2: Review of Literature
- Chapter 3: Research Methodology
- Chapter 4: Data Analysis and Results
- Chapter 5: Discussion
- Chapter 6: Conclusion and Recommendations

3. References

- APA 7th Edition (or as prescribed by University).
- Vancouver for medical research

4. Appendices

- Research tools, supplementary data, plagiarism report, ethics approval documents.

5. Formatting Guidelines

1. Language

- The thesis may be written in **English, Hindi, or Sanskrit**, subject to approval by the University.
- The chosen language must be consistent throughout the thesis.

2. Font and Script

- **English:** Times New Roman, font size 12.
- **Hindi/Sanskrit:** Unicode-compliant fonts (e.g., *Mangal*) or Kruti Dev, font size 14 (for readability).
- Headings may be bold, size 14; sub-headings size 12.

3. Spacing

- **Main Text:** 1.5 line spacing.
- **Footnotes/References:** Single spacing.
- **Paragraphs:** Indent first line or leave one blank line between paragraphs.

4. Margins

- Left margin: **1.5 inches** (to allow binding).
- Right, top, and bottom margins: **1 inch**.

5. Pagination

- **Preliminary Pages (Title, Certificates, Declaration, Acknowledgements, Abstract, TOC):** Roman numerals (i, ii, iii, iv...).
- **Main Text (Chapters, References, Appendices):** Arabic numerals (1, 2, 3...).
- Page numbers to be placed at the bottom center.

6. Binding

- **Hardbound copy** with **blue cover** and **golden lettering**.
- The spine must include:
 - Scholar's Name
 - Thesis Title (shortened if necessary)
 - Year of Submission

7. Additional Notes

- **Tables and Figures:** Numbered sequentially with captions.
- **References:** As per prescribed style (APA 7th / Vancouver for medical research).
- **Appendices:** Clearly numbered and titled.
- **Plagiarism Report:** To be attached at the end before submission.

(University of Patanjali Ordinance framed under UGC Regulations, 2022)

SAMPLE COPY

YOGIC INTEARVENTION FOR COPING WITH DISTRESS

**16 + Bold &
Single Space**

NOTE: Font may be Arial or
New Time Roman all
through out

Ph.D./M.Phil. THESIS

12 + Bold

by

12 Italic

R. B. BHANDARI

12 + Bold



**Seal size
1.75" by 1.75"
New Seal**

**DEPARTMENT OF YOGASCIENCE
UNIVERSITY OF PATANJALI
HARIDWAR- 249405 (INDIA)
MAY, 2017**

**14 + Bold
and
Single
Space**

(University of Patanjali Ordinance framed under UGC Regulations, 2022)

YOGIC INTERVENTION FOR COPING WITH DISTRESS

16 + Bold &
Single Space

A THESIS

12 + Bold

*Submitted in partial fulfilment of the
requirements for the award of the degree*

12 Italic + Bold &
Both line Single space

of

12 Italic

DOCTOR OF PHILOSOPHY

12 + Bold

in

12 Italic

YOGA SCIENCE

12 + Bold

by

12 Italic

R. B. BHANDARI

12 + Bold



Seal size
1.75" by 1.75"
New Seal

**DEPARTMENT OF YOGA SCIENCE
UNIVERSITY OF PATANJALI
HARIDWAR- 249405 (INDIA)
MAY, 2017**

14 + Bold
and
Single
Space

(University of Patanjali Ordinance framed under UGC Regulations, 2022)

©UNIVERSITY OF PATANJALI, HARIDWAR-249405 ALL RIGHTS
RESERVED

12 + Bold &
Both line
Single space

Annexure IX A

Supervisor's Certificate

This is to certify that the thesis entitled “ _____ ”
submitted by *Mr./Ms.* _____ (Enrollment No. _____)
under my supervision is an original piece of research work carried out
by the scholar.

The thesis has not been submitted, wholly or in part, for the award of any degree/diploma in
any other University/Institution.

Signature of Supervisor _____

Name & Designation _____

Department/School/Centre _____

Date _____

Annexure IX B

Co-Supervisor's Certificate (if applicable)

This is to certify that the thesis entitled _____

submitted by *Mr./Ms.* _____ (Enrollment No. _____) has been carried out under joint supervision.

I hereby endorse the originality of the work and confirm that it has not been submitted elsewhere for any degree/diploma.

Signature of Co-Supervisor _____

Name & Designation _____

Department/Institution _____

Date _____

Annexure IX C

Head of Department Certificate (Forwarded by Dean of Faculty)

This is to certify that the thesis entitled “ _____ ”

submitted by *Mr./Ms.* _____ (Enrollment No. _____)
_____) has been reviewed at the departmental level and is found suitable for submission.

The thesis is hereby forwarded to the Dean of Faculty for endorsement and onward submission to the University Research Committee.

Signature of Head of Department _____

Seal/Stamp of Department _____

Date _____

Dean of Faculty Endorsement

Having examined the departmental recommendation, I hereby endorse the submission of this thesis for consideration by the University Research Committee.

Forwarded by Dean of Faculty _____

Seal/Stamp of Faculty _____

Date _____

Annexure X

Research Ethics & Misconduct Declaration Form

University of Patanjali Ph.D. Programme

Scholar's Declaration

I, _____ (name of scholar), enrolled under
Enrollment No. _____, hereby declare that:

1. My research work entitled “_____”
has been carried out in strict adherence to ethical standards prescribed by the
University and UGC.
2. I have not engaged in any form of academic misconduct, including plagiarism,
falsification, fabrication of data, or misrepresentation of results.
3. All sources of information, data, and ideas have been duly acknowledged.
4. I understand that violation of research ethics may lead to disciplinary action,
cancellation of registration, or withdrawal of the Ph.D. degree.

Signature of Scholar: _____ **Date:** _____

Supervisor's Certification

I, _____ (name of supervisor), hereby certify that:

1. I have reviewed the research work entitled
“ _____ ” submitted by
_____ (name of scholar).
2. To the best of my knowledge, the scholar has adhered to ethical standards in conducting research.
3. The thesis is free from plagiarism, falsification, fabrication of data, or any other form of academic misconduct.
4. I endorse the submission of this thesis for evaluation and award of the Ph.D. degree in accordance with University and UGC regulations.

Signature of Supervisor: _____ **Date:** _____

Signature of Co-Supervisor (if any): _____ **Date:** _____

Departmental Endorsement

Certified that the above declarations have been duly verified at the departmental level.

Head of Department: _____ Seal/Stamp of Department: _____ Date: _____	Dean of Faculty: _____ Seal/Stamp of Faculty: _____ Date: _____
--	--